

COPY

Applicants certify that all references submitted with this disclosure were cited in a communication from a foreign patent office dated April 18, 2001, which communication is enclosed, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/APPM/3976P1/KMT.

Respectfully submitted,

 **COPY**

Keith M. Tackett
Registration No. 32,008
THOMASON, MOSER & PATTERSON, L.L.P.
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Houston, TX 77056
Telephone: (713) 623-4844
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Attorney for Applicant(s)

E:/APPM/3976.P1/PTO/IDS.TRANS.050901

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		APPM/3976.P1	09/727,133
SUPPLEMENTAL LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Emami, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date	Group
Examiner Unknown		November 29, 1999	Unknown

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	93/10277	05-27-1993	WO	C23C	18/38	<input type="checkbox"/>	<input type="checkbox"/>
	B2	0 620 293 A	10-19-1994	EP	C23F	1/18	<input type="checkbox"/>	<input type="checkbox"/>
	B3	0 859 407 A	08-19-1998	EP	H01L	21/768	<input type="checkbox"/>	<input type="checkbox"/>
	B4	00/59029	10-05-2000	WO	H01L	21/60	<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	EPO Search Report, for EP No. 00310488.2, dated April 18, 2001
	C2	
	C3	
Examiner		Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Emami et al

Serial No.: 09/727,133

Confirmation No.:

Filed: November 29, 2000

For: Planarized Copper Cleaning
for Reduced Defects

~~~~~

Group Art Unit: UNKNOWN

Examiner: UNKNOWN

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING  
 37 CFR 1.8  
 I hereby certify that this correspondence is being deposited on February  
 28, 2001, with the United States Postal Service as First Class Mail in an  
 envelope addressed to: Assistant Commissioner for Patents,  
 Washington, D.C. 20231.  
 2/28/01  
 Date  
 [Signature]  
 Signature

## INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the informant in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

**COPY**

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/APPM/3976.P1.

Respectfully submitted,



---

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Attorney for Applicant(s)

|                                                        |  |                           |                   |
|--------------------------------------------------------|--|---------------------------|-------------------|
| U.S. Department of Commerce, Patent & Trademark Office |  | Doc. No.                  | Serial No.        |
| (PTO Form 1449 modified APR 14 2004)                   |  | APPM/3976.P1              | 09/727,133        |
| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT    |  | Applicant<br>Emami et al. |                   |
| (Use several sheets if necessary)                      |  | Filing Date               | Confirmation No.: |
| Examiner UNKNOWN                                       |  | 11/29/00                  | UNKNOWN           |

**COPY****U.S. Patent Documents**

| *Examiner Initial |     | Document Number | Issue Date | Applicant(s) Name  | Class | Subclass | Filing Date If Appropriate |
|-------------------|-----|-----------------|------------|--------------------|-------|----------|----------------------------|
|                   | A1  | 4,169,337       | 10/02/79   | Payne              | 51    | 283 R    | 03/30/78                   |
|                   | A2  | 4,588,421       | 05/13/86   | Payne              | 51    | 308      | 05/20/85                   |
|                   | A3  | 4,752,628       | 06/21/88   | Payne              | 523   | 122      | 05/15/87                   |
|                   | A4  | 4,867,757       | 09/19/89   | Payne              | 51    | 293      | 09/09/88                   |
|                   | A5  | 5,264,010       | 11/23/93   | Brancaleoni et al. | 51    | 308      | 04/27/92                   |
|                   | A6  | 5,614,444       | 03/25/97   | Farkas et al.      | 437   | 225      | 06/06/95                   |
|                   | A7  | 5,700,383       | 12/23/97   | Feller et al.      | 216   | 88       | 12/21/95                   |
|                   | A8  | 5,738,574       | 04/14/98   | Tolles et al.      | 451   | 288      | 10/27/95                   |
|                   | A9  | 5,738,800       | 04/14/98   | Hosali et al.      | 216   | 99       | 02/19/97                   |
|                   | A10 | 5,756,398       | 05/26/98   | Wang et al.        | 438   | 692      | 03/17/97                   |
|                   | A11 | 5,769,689       | 06/23/98   | Cossaboon et al.   | 451   | 41       | 02/28/96                   |
|                   | A12 | 5,840,629       | 11/24/98   | Carpio             | 438   | 692      | 12/14/95                   |
|                   | A13 | 5,876,508       | 03/02/99   | Wu et al           | 134   | 2        | 03/17/97                   |
|                   | A14 | 5,911,835       | 06/15/99   | Lee et al          | 134   | 1.3      | 03/27/97                   |
|                   | A15 | 5,932,486       | 08/03/99   | Cook et al.        | 438   | 692      | 08/15/97                   |

**Foreign Patent Documents**

| *Examiner Initial |    | Document Number | Date     | Country | Class | Subclass | Translation                         |                          |
|-------------------|----|-----------------|----------|---------|-------|----------|-------------------------------------|--------------------------|
|                   |    |                 |          |         |       |          | YES                                 | NO                       |
|                   | B1 | EP 0 913 442 A2 | 05/06/99 | EP      | C09G  | 1/02     | <input checked="" type="checkbox"/> |                          |
|                   | B2 | WO 98/49723     | 11/05/98 | WO      | H01L  | 21/321   | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                   | B3 | WO 00/30159     | 05/25/00 | WO      | H01L  | 21/00    | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                   | B4 | WO 00/36037     | 06/22/00 | WO      | C09G  | 1/02     | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                   | B5 | WO 00/49647     | 08/24/00 | WO      | H01L  | 21/302   | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

**OTHER ART**

| *Examiner Initial |    | Including Author, Title, Date, Pertinent Pages, Etc.                                                                        |
|-------------------|----|-----------------------------------------------------------------------------------------------------------------------------|
|                   | C1 | Brusic, V. et al, "Copper Corrosion With and Without Inhibitors", <i>Electrochem. Soc.</i> , 138:8, 2253-2259, August 1991. |

|          |                 |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office

Document No.

Serial No.

(PTO Form 1449 modified)

APPM/3976.P1

09/727,133

## LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT

Applicant  
Emami et al.

Confirmation No.:

(Use several sheets if necessary)

Filing Date

Group

11/29/00

Unknown

## U.S. Patent Documents

| *Examiner Initial |     | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|-----|-----------------|------------|-------------------|-------|----------|----------------------------|
|                   | A16 | 5,958,794       | 09/28/99   | Bruxvoort et al.  | 438   | 692      | 08/08/96                   |
|                   | A17 | 5,981,454       | 11/09/99   | Small             | 510   | 175      | 02/14/97                   |
|                   | A18 | 6,033,993       | 03/07/00   | Love, Jr. et al   | 438   | 745      | 09/23/97                   |
|                   | A19 | 6,042,741       | 03/28/00   | Hosali et al.     | 252   | 79.1     | 03/10/98                   |
|                   | A20 | 6,054,379       | 04/25/00   | Yau et al.        | 438   | 623      | 02/11/98                   |
|                   | A21 | 6,068,879       | 05/30/00   | Pasch             | 427   | 97       | 08/26/97                   |
|                   | A22 | 6,117,775       | 09/12/00   | Kondo et al       | 438   | 690      | 10/30/98                   |
|                   | A23 | 6,117,783       | 09/12/00   | Small et al.      | 438   | 693      | 07/21/97                   |
|                   | A24 | 6,077,337       | 06/20/00   | Lee               | 106   | 3        | 12/01/98                   |
|                   | A25 | 6,083,840       | 07/04/00   | Mravic et al.     | 438   | 693      | 11/25/98                   |
|                   | A26 | 6,096,652       | 08/01/00   | Watts et al.      | 438   | 692      | 11/02/97                   |
|                   | A27 | 09/247,381      |            | Cheung et al.     |       |          | 02/10/99                   |
|                   | A28 | 09/401,643      |            | Li et al.         |       |          | 09/22/99                   |
|                   | A29 | 09/469,708      |            | Li et al.         |       |          | 12/21/99                   |
|                   | A30 | 09/543,777      |            | Sun et al.        |       |          | 04/05/00                   |
|                   | A31 | 09/544,281      |            | Sun et al.        |       |          | 04/06/00                   |
|                   | A32 | 09/606,544      |            | Sun et al.        |       |          | 06/30/00                   |

## Foreign Patent Documents

| *Examiner Initial |     | Document Number | Date     | Country | Class | Subclass | Translation                         |                          |
|-------------------|-----|-----------------|----------|---------|-------|----------|-------------------------------------|--------------------------|
|                   |     |                 |          |         |       |          | YES                                 | NO                       |
|                   | B6  | WO 00/53691     | 09/14/00 | WO      | C09K  | 3/14     | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                   | B7  |                 |          |         |       |          | <input type="checkbox"/>            | <input type="checkbox"/> |
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|                   | B9  |                 |          |         |       |          | <input type="checkbox"/>            | <input type="checkbox"/> |
|                   | B10 |                 |          |         |       |          | <input type="checkbox"/>            | <input type="checkbox"/> |

## OTHER ART

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|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|--|
| *Examiner Initial                                                                                                                                                                                                                                | Including Author, Title, Date, Pertinent Pages, Etc. |  |
| Examiner                                                                                                                                                                                                                                         | Date Considered                                      |  |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p> |                                                      |  |

U.S. Department of Commerce, Patent and Trademark Office

Doc. No.

Serial No.

(PTO Form 1449 modified APR 1 2004)

APPM/3976.P1

09/727,133

## LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT

Applicant  
Emami et al.

Confirmation No.:

(Use several sheets if necessary)

Filing Date

Group

11/29/00

Unknown

## U.S. Patent Documents

| *Examiner Initial |     | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|-----|-----------------|------------|-------------------|-------|----------|----------------------------|
|                   | A33 | 09/608,078      |            | Sun et al.        |       |          | 06/30/00                   |
|                   | A34 | 09/694,866      |            | Wang et al.       |       |          | 10/23/00                   |
|                   | A35 | 09/698,863      |            | Tsai et al.       |       |          | 10/27/00                   |
|                   | A36 | 09/698,864      |            | Li et al.         |       |          | 10/27/00                   |
|                   | A37 | 09/569,968      |            | Sun et al.        |       |          | 11/12/00                   |
|                   | A38 | 09/741,538      |            | Li et al.         |       |          | 12/20/00                   |
|                   | A39 |                 |            |                   |       |          |                            |
|                   | A40 |                 |            |                   |       |          |                            |
|                   | A41 |                 |            |                   |       |          |                            |
|                   | A42 |                 |            |                   |       |          |                            |
|                   | A43 |                 |            |                   |       |          |                            |
|                   | A44 |                 |            |                   |       |          |                            |
|                   | A45 |                 |            |                   |       |          |                            |

## Foreign Patent Documents

| *Examiner Initial |     | Document Number | Date | Country | Class | Subclass | Translation              |                          |
|-------------------|-----|-----------------|------|---------|-------|----------|--------------------------|--------------------------|
|                   |     |                 |      |         |       |          | YES                      | NO                       |
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|                   | B12 |                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B13 |                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B14 |                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B15 |                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |

## OTHER ART

| *Examiner Initial |  | Including Author, Title, Date, Pertinent Pages, Etc. |
|-------------------|--|------------------------------------------------------|
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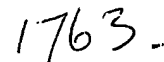
Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Emani, et al.

Serial No.: 09/727,133

Confirmation No.: 2919

Filed: November 29, 2000

For: Planarized Copper Cleaning for  
Reduced Defects

**Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**Dear Sir:**

~~~~~

Group Art Unit: 1763

Examiner: George A. Goudreau

CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on April 14, 2004 with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV331235225US addressed to: Mail Post Issue Fee Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


04/19/2004
Date

Signature Be GH

TRUE COPY OF PREVIOUSLY SUBMITTED INFORMATION DISCLOSURE STATEMENTS

Applicants respectfully resubmit a true copy of previously submitted Information Disclosure Statements, including a prior submission of copies of the previously submitted Information Disclosure Statements on July 24, 2003, and the Auto-Reply Facsimile Transmission from the United States Patent and Trademark Office. Copies of the respective postcard receipts for the previously submitted Information Disclosure Statements are attached hereto. A copy of the Office Action dated August 1, 2003, indicating the respective Information Disclosure Statements that were considered by the Examiner is also attached hereto.

Respectfully submitted,


 Brian K. Hrna
 Registration No. 41,852
 MOSER, PATTERSON, & SHERIDAN, L.L.P.
 3040 Post Oak Blvd., Suite 1500
 Houston, TX 77056



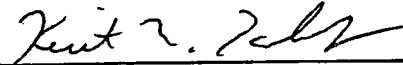
Telephone: (713) 623-4844
Facsimile: (713) 623-4846
Attorney for Applicant(s)

COPY

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/APPM/3976.P1/CMK/CMK/RKK.

Respectfully submitted,



Keith M. Tackett
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Facsimile: (713) 623-4846
Attorney for Applicant(s)

U.S. Department of Commerce, Patent and Trademark Office

Docket No.

Serial No.

(PTO Form 1449 modified)

APPM/3976.P1

09/727,133

LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT

Applicant
Emami et al.

Confirmation No.

(Use several sheets if necessary)

COPY

Filing Date

Group

November 29, 2000

Unknown

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	6,156,661	12-05-2000	Small	438	692	08-27-1999
	A2	6,123,088	09-26-2000	Ho	134	1.3	12-20-1999
	A3	6,074,949	06-13-2000	Schonauer et al.	438	692	11-25-1998
	A4	6,046,110	04-04-2000	Hirabayashi et al.	438	693	06-04-1996
	A5	5,662,769	09-02-1997	Schonauer et al.	438	633	02-21-1995
	A6	09/359,141		Brown et al.			07-21-1999
	A7	09/163,582		Brown et al.			09-30-1998
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
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	B2	WO 99/46353	09-16-1999	PCT			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	
	C3	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.



mks

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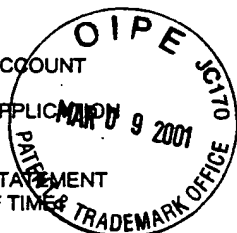
DOCKET No.: APPm 3976.P1
SERIAL No.: 09/727,133
FILED: 11-29-00

APPLICANT: _____
INVENTOR: Emami et al.

The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the items checked below which were mailed 2-28-01

- ☐ AFFIDAVIT
- ☐ AMENDMENT
- ☐ APPLICATION PAPERS - OATH/DECLARATION
- ☐ TOTAL CLS. _____ IND. CLS. _____ FEE \$ _____
- ☐ SHEET(S) OF DRAWING(S) FORMAL/INFORMAL
- ☐ ASSIGNMENT - RECORDAL FEE \$ _____
- ☐ BRIEF
- ☐ APPEAL NOTICE
- ☐ DECLARATION
- ☐ ISSUE FEE - BASE/BALANCE
- ☐ LETTER - CHARGE DEPOSIT ACCOUNT
- ☐ LETTER
- ☐ TRADEMARK/SERVICEMARK APPLICATION
- ☐ PETITION
- ☐ PRELIMINARY AMENDMENT
- ☒ INFORMATION DISCLOSURE STATEMENT
- ☐ REQUEST FOR EXTENSION OF TIME
- ☐ RESPONSE TO _____
- ☐ SPECIMENS
- ☐ SMALL ENTITY
- ☐ CHECK # _____ IN THE AMOUNT OF \$ _____
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EXPRESS MAIL # _____ MAIL LOG # 8174



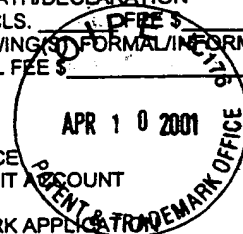
AMAT 13976.P1 /CMD/CMD/PKX
DOCKET No.: APPm 3976.P1 /CMD/CMD/PKX
SERIAL No.: 09/727,133
FILED: November 29, 2000

APPLICANT: _____
INVENTOR: Emami et al.

The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the items checked below which were mailed 4/6/2001

- ☐ AFFIDAVIT
- ☐ AMENDMENT
- ☐ APPLICATION PAPERS - OATH/DECLARATION
- ☐ TOTAL CLS. _____ IND. CLS. _____ FEE \$ _____
- ☐ SHEET(S) OF DRAWING(S) FORMAL/INFORMAL
- ☐ ASSIGNMENT - RECORDAL FEE \$ _____
- ☐ BRIEF
- ☐ APPEAL NOTICE
- ☐ DECLARATION
- ☐ ISSUE FEE - BASE/BALANCE
- ☐ LETTER - CHARGE DEPOSIT ACCOUNT
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- ☐ PRELIMINARY AMENDMENT
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- ☐ REQUEST FOR EXTENSION OF TIME
- ☐ RESPONSE TO _____
- ☐ SPECIMENS
- ☐ SMALL ENTITY
- ☐ CHECK # _____ IN THE AMOUNT OF \$ _____
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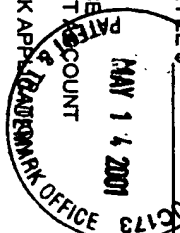
AS/BKH

DOCKET No.: APPm 3976.P1
SERIAL No.: 09/727,133
FILED: 29 Dec. 1998
APPLICANT: Applied Materials
INVENTOR: Emami et al.

The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the items checked below which were mailed May 2000

- ☐ AFFIDAVIT
- ☐ AMENDMENT
- ☐ APPLICATION PAPERS - OATH/DECLARATION
- ☐ TOTAL CLS. _____ IND. CLS. _____ FEE \$ _____
- ☐ SHEET(S) OF DRAWING(S) FORMAL/INFORMAL
- ☐ ASSIGNMENT - RECORDAL FEE \$ _____
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- ☐ DECLARATION
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- ☐ PRELIMINARY AMENDMENT
- ☒ INFORMATION DISCLOSURE STATEMENT (SOP)
- ☐ REQUEST FOR EXTENSION OF TIME
- ☐ RESPONSE TO _____
- ☐ SPECIMENS
- ☐ SMALL ENTITY
- ☐ CHECK # _____ IN THE AMOUNT OF \$ _____
- ☐ CHECK # _____ IN THE AMOUNT OF \$ _____

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030

TO: Auto-reply fax to +7136234846 COMPANY:

COPY

Auto-Reply Facsimile Transmission

**UNITED STATES
PATENT AND
TRADEMARK OFFICE**

TO:

Fax Sender at +7136234846

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